

IN THE UNITED STATES PATENT OFFICE

Application Ser No: Not known  
Applicant: U N Das  
Title: A method of stabilizing and Potentiating....

Docket No: UND99-02D1  
Examiner: Not known  
Group Art: Not known

AFFIDAVIT TO ASSERT SMALL ENTITY STATUS  
UNDER 37 CFR 1.27

This Affidavit is submitted to assert Small Entity status for at least first and second Divisional Applications which are concurrently being filed, based on US serial no. 09/478,291 which has a filing date of 5th January, 2000.

The undersigned Assignee deposes and states as follows:

1. The parent Application Serial no. 09/478,291 was assigned, as recorded in the USPTO at reel/frame 010500/0949, to EFA Sciences LLC as the **assignee of entire interest in the invention**.
2. Said assignee EFA Sciences LLC is located at 1420, Providence Hwy, Suite # 266, Norwood, MA 02062, with Dr.Undurti Narasimha Das as the chairman, who is also the sole inventor of said parent US Application Serial no. 09/478,291, filed January 5, 2000.
3. The assignee organization EFA Sciences LLC is a research entity, and has always had the chairman Dr.U.N. Das as the sole employee. The assignee submits that there is no intention of hiring additional employees into EFA sciences LLC at this time.
4. The assignee, at the time of filing the parent Application Serial no. 09/478,291, envisaged the prospect of licensing the subject matter of said parent application to a prospective user, and hence filed the parent Application Serial no. 09/478,291 as a Large Entity. However, the assignee submits that licensing efforts did not materialize, and there are no pending licensing negotiations nor known prospects. The Assignee is a small business concern and has not assigned, granted, conveyed, or licensed, and is under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person, concern or organization which would not qualify for small entity status as a person, small business concern, or nonprofit organization.
5. The parent Application has a Notice of Allowance. The assignee wishes to file Divisional Applications at this time, owing to a restriction in said parent application. Based on the above considerations, assignee believes that the assignee is entitled to a Small Entity status for filing the Divisional Applications as a Small Entity. Assignee submits that this Affidavit should be considered as a petition to accept the Assignee of record as a Small Entity for the Divisional Applications. The Agent of Record for the parent Application Serial no. 09/478,291, Mr Rama B Nath, is the Agent of Record for the proposed Divisional Applications also, and may be reached at Tel 972 458 7275, if necessary, in this connection. The assignee submits that this petition for assertion of Small Entity status should be granted.

Respectfully Submitted

EFA Sciences LLC

*U. Narasimha Das*

By: Undurti Narasimha Das  
Chairman

VICTORIA INGRAM  
Notary Public  
My Commission Expires  
March 28, 2008

*Victoria Ingram*  
2/13/02

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DOCKET NO.:             
UND 99-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Declaration for Patent Application

As a named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name;

I believe I am the original, first and sole inventor (if only one name is listed) or an original, first and joint inventor (if plural names are listed in the signatory page(s) commencing at page 3 hereof) of the subject matter which is claimed and for which a patent is sought on the invention entitled

A METHOD OF STABILIZING AND POTENTIATING THE ACTION  
OF ANTI-ANGIOGENIC SUBSTANCES

the specification of which (check one)

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as United States Application

Number or PCT International Application No. \_\_\_\_\_

and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is known by me to be material to patentability as defined in 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>			<u>Priority</u> <u>Not</u> <u>Claimed</u>	<u>Certified</u> <u>Copy Filed?</u>	
				<u>YES</u>	<u>NO</u>
_____ (Number)	_____ (Country)	_____ (Day/Month/Year filed)	[ ]	[ ]	[ ]
_____ (Number)	_____ (Country)	_____ (Day/Month/Year filed)	[ ]	[ ]	[ ]
_____ (Number)	_____ (Country)	_____ (Day/Month/Year filed)	[ ]	[ ]	[ ]

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

_____ (Application Number)	_____ (Filing Date)
_____ (Application Number)	_____ (Filing Date)

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information known by me to be material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

_____ (Application Serial No.)	_____ (Filing date)	_____ (Status: patented, pending, abandoned)
_____ (Application Serial No.)	_____ (Filing date)	_____ (Status: patented, pending, abandoned)
_____ (Application Serial No.)	_____ (Filing date)	_____ (Status: patented, pending, abandoned)
_____ (Application Serial No.)	_____ (Filing date)	_____ (Status: patented, pending, abandoned)

As a named inventor, I hereby appoint the attorneys and/or agents associated with

and RAMA B NATH, REG No 27.072  
to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Please send correspondence to: ☐ Customer No. Noted Above

or  
☒ Address below:

RAMA B NATH  
(REGISTRATION No 27.072)  
42. SUDBURY ROAD, ASHLAND

Direct telephone calls to: [Attorney/Agent Name] NATH Telephone No.: 508 881-3968

Direct facsimiles to: [Attorney/Agent Name] Facsimile No.: \_\_\_\_\_

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole

or first inventor UNDURTI NARASIMHA DAS

Inventor's Signature U. Narasimha Das Date 4th Jan 2000

Residence 9/0 EFA SCIENCES LLC, 1420 PROVIDENCE HWY  
SUITE # 266, NORWOOD, MA 02062

Citizenship INDIAN

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